



In re Application of Klein et al.  
Serial No. 09/635,967

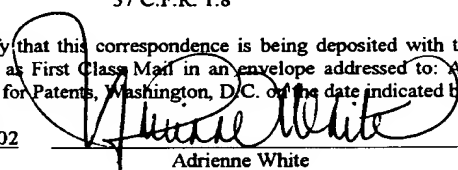
**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	Robert J. Klein et al.	Atty Dkt:	47171-00272 USC1
Serial No.:	09/635,967	Group Art:	3652
Filed:	August 10, 2000	Examiner:	Jeffrey Shapiro
Title:	METHOD AND APPARATUS FOR DOCUMENT PROCESSING		

**FOURTH INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§1.97 and 1.98**

Commissioner for Patents  
Washington, D.C. 20231

<b>CERTIFICATE OF MAILING</b> 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. on the date indicated below:	
<u>August 15, 2002</u> Date	 Adrienne White

Dear Commissioner:

In compliance with the duty of disclosure under 37 C.F.R. §1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on attached Form PTO-1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R. § 1.98(d), copies of the listed references are enclosed.

In accordance with 37 C.F.R. §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information disclosed is, or is considered to be, prior art with respect to the present application or material to patentability as defined in 37 C.F.R. §§ 1.56.

The present Information Disclosure Statement is being submitted after the mailing of the first Office Action reflecting an examination on the merits but before the mailing of a Final Rejection or Notice of Allowance. In accordance with 37 C.F.R. § 1.97(c), a fee of \$180 is included herewith. If this check is inadvertently not enclosed, or is in error in amount, the Commissioner is authorized to charge any deficiency (except for payment to the issue fee) or

to credit any over payment to Jenkins & Gilchrist Account No. 10-0447/47171-00272USC1.

Respectfully submitted,

August 15, 2002  
Date



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Form PTO-1449 (modified)	Atty. Docket No. 47171-00272USC1	Serial No. 09/635,967
List of Patents and Publications for Applicant's <b>4<sup>TH</sup> INFORMATION DISCLOSURE STATEMENT</b>	Applicant Robert J. Klein et al.	
	Filing Date: August 10, 2000	Group: 3653

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### U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	A01	2,922,893	01/26/60	A.H. Ett			01/26/60
	A02	3,487,905	01/06/70	F.N. James, St.			03/01/67
	A03	3,635,321	01/18/72	Frazier, Sr.			03/19/69
	A04	4,749,076	06/07/88	Akagawa et al.			02/24/86
	A05	5,547,062	08/20/96	Mays et al.			05/31/95
	A06	5,992,600	11/30/99	Baudat			11/30/94
	A07	6,162,122	12/19/00	Acres et al.			12/24/97

### Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B01						
	B02						
	B03						

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C01	
	C02	
	C03	

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
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